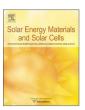
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# Thickness effects of thermally evaporated C<sub>60</sub> thin films on regular-type CH<sub>3</sub>NH<sub>3</sub>PbI<sub>3</sub> based solar cells



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## ABSTRACT

Comprehensive studies were carried out to explore the thickness-dependent characteristics of thermally evaporated  $C_{60}$  thin films. The structural, optical, electronic and surface properties of the resultant  $C_{60}$  thin films were analyzed using Raman scattering spectrometry, photoluminescence spectroscopy, absorbance spectroscopy, photoelectron emission spectrometry and water droplet contact angle measurement. The experimental results show that the thickness of the  $C_{60}$  thin film has a decisive impact on the photovoltaic performance of  $CH_3NH_3PbI_3$  based solar cells in terms of open-circuit voltage  $(V_{OC})$ , short-circuit current density  $(J_{SC})$  and fill factor (FF). In the case of the 2.5 nm-thick  $C_{60}$  film, the solar cells have the better  $V_{OC}$  and  $J_{SC}$ , as the results of the lower electron affinity and higher transparency. The FF of the solar cell is related to the coverage of  $C_{60}$  on the transparent conductive electrode (TCE) and the  $CH_3NH_3PbI_3$  perovskite continuity. Consequently, the photovoltaic performance can be expected to be improved by using a smooth TCE.

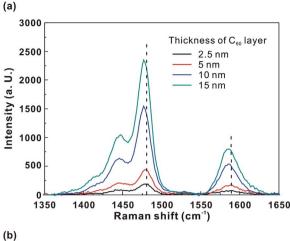
#### 1. Introduction

The existence of an icosahedral C<sub>60</sub>H<sub>60</sub> cage was predicted in 1970 [1], which is a football-like symmetric molecule.  $C_{60}H_{60}$  ( $C_{60}$ ) is commonly called buckminsterfullerenes and has been widely used in optoelectronic devices [2-7] owing the superior optical and electrical properties of C<sub>60</sub> thin films. A C<sub>60</sub> is a non-polar molecule, which has a low solubility in organic solvents [8] and thereby impedes the formation of a high-quality C<sub>60</sub> thin film. The hydrophobic nature of C<sub>60</sub> molecules [9] results in the discontinuous C<sub>60</sub> thin films on hydrophilic substrates when using the spin-coating deposition method. The carrier mobility in the spin-coated C<sub>60</sub> thin films is low due to randomly distributed C<sub>60</sub> molecules [10], which limits the device performance. C<sub>60</sub> molecules have a moderate melting point (~600 °C). Therefore, a high-quality C<sub>60</sub> thin film can be fabricated using the vacuum thermal evaporation deposition technique. In the reference [11], a crystalline C<sub>60</sub> thin film can be obtained by means of powder sublimation (~300 °C) on quartz substrate under a ultra high vacuum (UHV) environment  $(10^{-7} \text{ Torr})$  with a slow evaporation rate at 0.01-0.02 nm/s, which results in a conduction band minimum at -4.1 eV.

Before the thin-film deposition, the C<sub>60</sub> (99%) powder has to be degassed at 200 °C under high vacuum environment for a several hours to remove the absorbed impurities and residual solvent. Therefore, it takes a lot of time to fabricate the high-quality  $C_{60}$  thin film by the means of powder sublimation. Thermal evaporation involves the creation of a hot source material and the deposition of a thin film on the substrate. The use of UHV in the thermal evaporation can minimize the kinetic energy loss of evaporated particles, thereby increasing the quality of deposited thin films [12,13]. The UHV thermally deposited  $C_{60}$  thin films were used in the regular-type CH<sub>3</sub>NH<sub>3</sub>PbI<sub>3</sub> based solar cells as the electron transporting layer (ETL), which results in a high power conversion efficiency (PCE) of 19.1% [14] due to the high electron mobility of 1.6 cm<sup>2</sup>/Vs. The thickness of C<sub>60</sub> thin films highly influences the PCE of CH<sub>3</sub>NH<sub>3</sub>PbI<sub>3</sub> based solar cells [15]. However, the thickness effect of  $C_{60}$  thin films on the photovoltaic performance of CH3NH3PbI3 thin films were not comprehensively studied.

We seek to understand the thickness effects of  $C_{60}$  thin films on the photovoltaic performance of  $CH_3NH_3PbI_3$  based solar cells. The correlation between the properties of the  $C_{60}$  thin films and the

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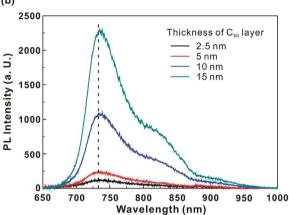


Fig. 1. (a) Raman scattering spectra of the  $C_{60}$  thin films; (b) Photoluminescence spectra of the  $C_{60}$  thin films.

photovoltaic performance of the  $\rm CH_3NH_3PbI_3$  based solar cells were investigated by the absorbance spectrometer, photoluminescence (PL) spectrometer, photoelectron emission spectrometer, Raman scattering spectrometer and contact angle measurement imaging system. In addition, the hysteresis in the current density-voltage (J-V) curves (PCE) can be reduced (improved) by using an interlayer of the compact  $\rm TiO_2$  thin film between the transparent conductive electrode and the  $\rm C_{60}$  thin film.

# 2. Fabrication

C<sub>60</sub> thin film was deposited on top of the FTO/glass substrate with a sheet resistance of 10  $\Omega$ /sq using the thermal evaporation at a rate of 0.075 nm/s under a high vacuum environment ( $5 \times 10^{-6} \text{ Torr}$ ). The toluene-assisted one-step spin-coating solution process was used to fabricate the CH<sub>3</sub>NH<sub>3</sub>PbI<sub>3</sub> thin film on top of the C<sub>60</sub>/FTO/glass. The detailed fabrication process of the CH3NH3PbI3 thin film is described in our previous report [16]. Spiro-OMeTAD was spin coated on top of the CH<sub>3</sub>NH<sub>3</sub>PbI<sub>3</sub>/C<sub>60</sub>/FTO/glass as the hole transporting layer (HTL). Then, Ag were thermally evaporated onto the sample to act as the anode electrode. The active area (0.1 cm<sup>2</sup>) of the solar cell was defined by using a shadow mask during Ag evaporation. The final photovoltaic structure was comprised of Ag/Sprio-OMeTAD/CH<sub>3</sub>NH<sub>3</sub>PbI<sub>3</sub>/C<sub>60</sub>/ FTO/glass. The thicknesses of FTO, CH<sub>3</sub>NH<sub>3</sub>PbI<sub>3</sub>, Spiro-OMeTAD, and Ag were controlled at ca. 400 nm, 280 nm, 150 nm, and 100 nm, respectively. The thickness of the C<sub>60</sub> thin films was varied from 2.5 to 15 nm by controlling the deposition time. The J-V curves were recorded using a commercial Keithly 2400 source-measurement unit. The optical intensity of the simulated sunlight was calibrated using an NREL-certieid silicon solar cell (Oriel, 91150 V) with a KG-5 bandpass

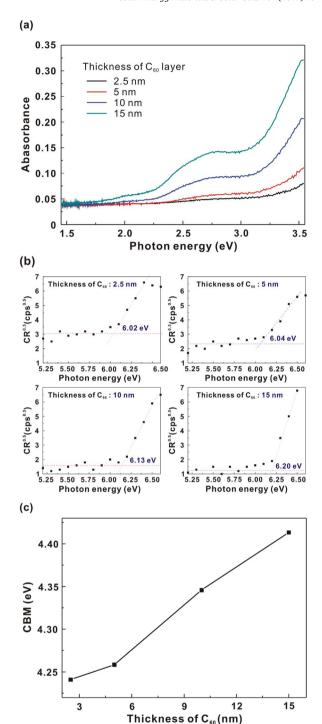


Fig. 2. (a) Absorbance spectra of the  $C_{60}$  thin films; (b) Photoelectron emission spectra of the  $C_{60}$  thin films; (c) Conduction band minimums of the  $C_{60}$  thin films.

filter to have an optical intensity of  $100 \, \mathrm{mW/cm^2}$  (AM  $1.5 \, \mathrm{G}$ ). The molecular structure of  $C_{60}$  thin films was evaluated usin the Raman scattering spectrometer and photoluminescence (PL) spectrometer (UniRAM, Protrustech). The conduction band minimum (CBM) and valence band maximum (VBM) of  $C_{60}$  thin films were determined by the combination of the absorbance spectrometer (U-4100, HITACHI) and photoelectron emssion spectrometer (AC2, Riken Keiki). The hydrophility of  $C_{60}$  thin films was assessed using a home-made contact angle image measurement system. The surface morphologies of  $CH_3NH_3PbI_3$  thin films were measured by a contact-mode atomic-force microscope (E-Sweep System, Seiko).

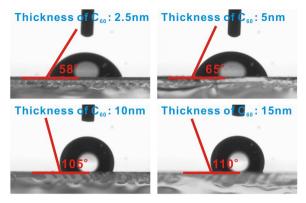


Fig. 3. Water droplet contact angle images of the C<sub>60</sub> thin films.

#### 3. Results and discussion

Fig. 1 presents the Raman scattering spectra and photoluminescence (PL) spectra of  $C_{60}$  thin films. The Raman sfhits at ~1446 cm<sup>-1</sup>, ~1479 cm<sup>-1</sup> and ~1584 cm<sup>-1</sup> are assigned as the pinch mode (symetric Ag mode) in the disordered  $C_{60}$ , pinch mode in the ordered  $C_{60}$  and high-frequency Hg mode, respectively [11]. The pinch mode in ordered  $C_{60}$  and high-frequency Hg mode both are red-shifted, which indicates that the interaction strength between adjacent  $C_{60}$  molecules decreases with an increase in the  $C_{60}$  layer thickness. In the PL spectra, the one prominent peak (~736 nm) and two shoulders (~823 nm and ~909 nm) corresponds to the main band emission and phonon replicas of the  $C_{60}$  thin films [17]. The main band emission peak of the  $C_{60}$  thin films is shifted from ~734 nm to ~736 nm, which indicates that the crystallinity of the  $C_{60}$  thin films increases with an increase in the  $C_{60}$  layer thickness.

Fig. 2 presents the absorbance spectra, photoelectron emission spectra and CBM energy level (E<sub>C</sub>) of C<sub>60</sub> thin films. The optical bandgap (E<sub>g</sub>) of the C<sub>60</sub> thin film can be obtained by determining the absrobance onset in Fig. 2(a), while the VBM energy level (E<sub>V</sub>) of the C<sub>60</sub> thin film can be determined by measuring the photoelectron emission spectrum as shown in Fig. 2(b). The E<sub>C</sub> of the C<sub>60</sub> thin film can be calculated by the relation: E<sub>C</sub>=E<sub>V</sub>+E<sub>g</sub>, as shown in Fig. 2(c). The experimental results show that the E<sub>C</sub> of the C<sub>60</sub> thin film increases from -4.24 eV to -4.41 eV with an increase in the C60 layer thickness from 2.5 to 15 nm. The thickness-dependent electronic characteristics implies that the surface properties of the C<sub>60</sub> thin films are related to the thickness. Fig. 3 presents the water droplet contact angle images of  $C_{60}$  thin films. The contact angle of the  $C_{60}$  thin film increases from  $58^{\circ}$ to  $105^{\circ}$  with an increase in the  $C_{60}$  layer thickness from 2.5 to 15 nm. There is a transition from hydrophilicity to hydophobicity when the C<sub>60</sub> layer thickness increases from 5 nm to 10 nm. The thickness-depednet contact angle of the C<sub>60</sub> thin film is possibly related to the interaction strength between adjacent C<sub>60</sub> molecules. A thicker C<sub>60</sub> thin film has a more ordered arrangement of C<sub>60</sub> molecules, which results in a lower surface free energy (larger water droplet contact angle). As a result, the optical, electronic and surface properties of the thermally evaporated C<sub>60</sub> thin films can be manipulated by controlling the thickness.

To further explore the influence of the thickness-dependent  $C_{60}$  thin film on the formation of  $CH_3NH_3PbI_3$  thin films, the marcroscopic and microscopic images were observed as shown in Fig. 4. Fig. 4(a) presents the transparent optical (TO) images of  $CH_3NH_3PbI_3/C_{60}/FTO/glass$  samples, which shows that the coverage of  $CH_3NH_3PbI_3$  on the  $C_{60}/FTO/glass$  in the marcroscopic scale can be optimized when the  $C_{60}$  layer thickness is 2.5 nm. When the  $C_{60}$  layer thickness is larger than 10 nm, there are a lot of sub-millimeter bright spots which corresponds the regions of the  $C_{60}/FTO/glass$ . Fig. 4(b) presents the atomic-force microscopic (AFM) images of  $CH_3NH_3PbI_3/C_{60}/FTO/glass$  samples. The grain size of the  $CH_3NH_3PbI_3$  thin film increases from 872 nm to 2137 nm with an increase in the water droplet contact

angle of the  $C_{60}$  thin film from 58° to 110°. The TO images and AFM images show that the hydrophilic (hydrophobic)  $C_{60}$  thin film is advantageous to the formation of densely packed (large grained)  $CH_3NH_3PbI_3$  thin films.

In the regular-type CH<sub>3</sub>NH<sub>3</sub>PbI<sub>3</sub> based solar cell as shown in Fig. 5(a), the photovoltaic performance is strongly influenced by the properties of ETLs [18–20]. The sunlight has to propagate to the active layer (CH<sub>3</sub>NH<sub>3</sub>PbI<sub>3</sub>) without significant loss in the ETL. After this, the photo-excited excitons in the CH<sub>3</sub>NH<sub>3</sub>PbI<sub>3</sub> thin film have to dissociate for the generation of photocurrents. The energy potential of the electrons (holes) in the ETL (HTL) determines the open-circuit voltage  $(V_{OC}=E_{ETL}/e-E_{HTL}/e)$  [21] as shown in Fig. 5(b), where  $E_{ETL}$  is the CBM energy level of the ETL, E<sub>HTL</sub> is the VBM energy level of the HTL. It means that the regular-type CH3NH3PbI3 based solar cell is predicted to have a higher short-circuit current density (J<sub>SC</sub>) and a larger  $V_{OC}$  when a thinner  $C_{60}$  layer is used as the ETL. On the other hand, the formation of the CH3NH3PbI3 thin film in the regular perovskite solar cell structure is highly related to the surface properties of the ETL (see Figs. 3 and 4). It means that the hydrophilic nature in the thinner C<sub>60</sub> film is advantageous to the formation of a continuously CH<sub>3</sub>NH<sub>3</sub>PbI<sub>3</sub> thin film, which dominates the fill factor (FF) of the corresponding solar cell.

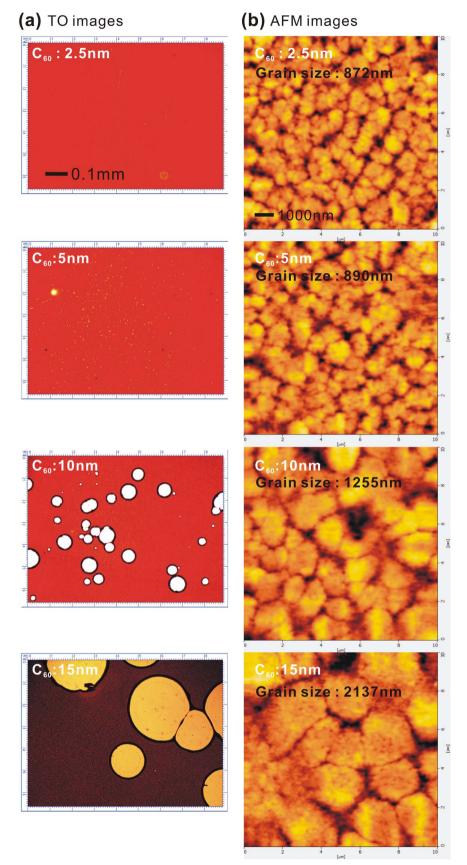
## 3.1. Photovoltaic performance

Fig. 6(a) presents the current density-voltage (J-V) curves of the regular-type CH3NH3PbI3 based solar cells under the forward scan direction from -0.1 to 1.2 V. The average photovoltaic performance of 12 devices for each fabrication condition is listed in Table 1. The PCE can be dramatically improved from 0.67% to 8.54% when a 2.5 nmthick C<sub>60</sub> film was used as the ETL and hole blocking layer. The V<sub>OC</sub>,  $J_{SC}$  and FF were simultaneously decreased when the  $C_{60}$  layer thickness was increased from 2.5 to 15 nm. The decrease in the V<sub>OC</sub> is due to the thickness-dependent CBM of the C<sub>60</sub> thin film. The trend of the reduction in the J<sub>SC</sub> is inversely proportional to the absorbance of the C<sub>60</sub> thin film, which indicates that the incident light absorbed by the C<sub>60</sub> thin film does not contribute to the generation of photocurrents. The FF decreases from 41.2% to 29.9% with an increase in the C<sub>60</sub> layer thickness from 2.5 to 15 nm, which is related to the coverage of CH<sub>3</sub>NH<sub>3</sub>PbI<sub>3</sub> on the C<sub>60</sub>/FTO/glass sample as shown in Fig. 4(a). Fig. 6(b) presents the hysteresis behaviors in the J-V curves. The FF (PCE) of the CH<sub>3</sub>NH<sub>3</sub>PbI<sub>3</sub> based solar cells can be dramatically increased to 62% (12.48%) under the reverse scan direction from 1.2 V to -0.1 V, which is possibly due to the charge-detrapping result [22] at the  $C_{60}$  grain boundaries.

In order to improve the PCE of the  $CH_3NH_3PbI_3$  based solar cells, a 20 nm-thick  $TiO_2$  was deposited on top of the FTO/glass substrate as the buffer layer. Fig. 7 presents the photovoltaic performance of the  $CH_3NH_3PbI_3$  based solar cells with and without the  $TiO_2$  buffer layer. The PCE (FF) of the  $CH_3NH_3PbI_3$  based solar cells can be improved from 8.54% (41.2%) to 10.02% (51.8%) when a  $TiO_2$  thin film was used as the buffer layer, while the hysteresis in the J-V curves was reduced. The PCE (FF) was increased from 10.02% (51.8%) to 13.31% (70.6%) under the reverse scan direction from 1.2 V to -0.1 V, which indicates that the photovoltaic performance of the  $CH_3NH_3PbI_3$  based solar cells can be further improved by minimizing the hysteresis in the J-V curves because the hysteresis originates from the reduction in the FF possibly caused by the charge-detrapping at the  $C_{60}$  grain boundaries.

## 4. Conclusions

In summary, we have studied the structural, optical, electronic and surface properties of the thermally evaporated  $C_{60}$  thin films as the n-type compact layer in the regular-type  $CH_3NH_3PbI_3$  solar cell. The thickness-dependent properties of the  $C_{60}$  thin films highly influence the photovoltaic performance. The experimental results show that the



 $\textbf{Fig. 4.} \ \textbf{(a)} \ Transparent \ optical \ (TO) \ images \ of the \ CH_3NH_3PbI_3/C_{60}/FTO/glass \ samples; \ \textbf{(b)} \ Atomic-force \ microscopic \ (AFM) \ images \ of the \ CH_3NH_3PbI_3/C_{60}/FTO/glass \ samples.$ 

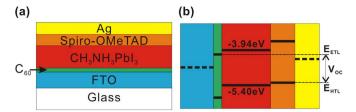
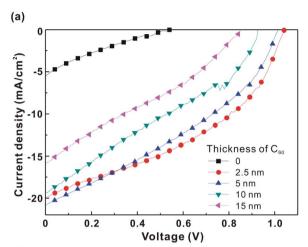


Fig. 5. (a) Schematic structure of the regular-type CH<sub>3</sub>NH<sub>3</sub>PbI<sub>3</sub> based solar cell; (b) Energy band diagram of the regular-type CH<sub>3</sub>NH<sub>3</sub>PbI<sub>3</sub> based solar cell.



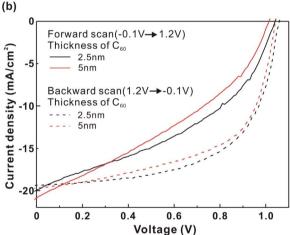


Fig. 6. (a) J-V curves of the CH3NH3PbI3 based solar cells under the forward scan direction from -0.1 to 1.2 V; (b) Hysteresis J-V curves.

Photovoltaic performances of the CH<sub>3</sub>NH<sub>3</sub>PbI<sub>3</sub> based solar cells under 1 sun illumination (AM 1.5 G, 100 mW/cm<sup>2</sup>).

Thickness of C <sub>60</sub>	V <sub>oc</sub> (V)	J <sub>sc</sub> (mA/cm <sup>2</sup> )	FF (%)	PCE (%)
0 nm 2.5 nm 5 nm 10 nm	$0.56 \pm 0.04$ $1.04 \pm 0.03$ $1.01 \pm 0.02$ $0.92 \pm 0.03$ $0.85 \pm 0.04$	$6.67 \pm 0.82$ $19.93 \pm 0.52$ $20.70 \pm 0.21$ $19.13 \pm 0.56$ $16.75 \pm 1.81$	$17.9 \pm 4.9$ $41.2 \pm 0.4$ $35.3 \pm 0.6$ $30.5 \pm 1.3$ $29.9 \pm 2.1$	$0.67 \pm 0.39$ $8.54 \pm 0.50$ $6.72 \pm 0.47$ $5.37 \pm 0.41$ $4.26 \pm 0.40$

2.5 nm-thick C<sub>60</sub> film is an alternative electron selective layer in the regular-type CH<sub>3</sub>NH<sub>3</sub>PbI<sub>3</sub> solar cell due to the small electron affinity, high transparency and hydrophilicity surface. However, the power conversion efficiency (PCE) of the CH3NH3PbI3 based solar cells is lower than 9% due to the small fill factor which is possibly due to the poor coverage of C<sub>60</sub> on the FTO. The PCE (FF) can be increased from 8.54% (41.2%) to 10.02% (51.8%) when a 20-nm TiO<sub>2</sub> thin film was

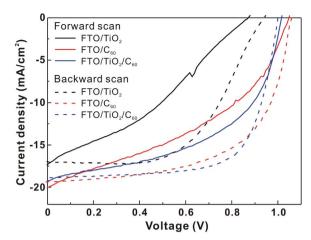


Fig. 7. J-V curves of the  $CH_3NH_3PbI_3$  based solar cells with and without a 20 nm-thick TiO2 buffer layer. The thickness of C60 is 2.5 nm.

used as the interlayer to improve the coverage of ETL  $(C_{60}/\text{TiO}_2)$  on the

## Acknowledgments

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